



第九讲 函数式语言的编译

Compilation of Functional Languages

主要内容

- ◎ 函数式编程语言 micro-ML
- ◎ 中间表示：若干种正规形式
- ◎ 前端到中端：源程序到正规形式的转换
- ◎ 中端到后端：正规形式程序的闭包转换
- ◎ 中端上的优化

- ◎ 参考教材：Peter Sestoft. 2017. Programming Language Concepts. Springer Cham. DOI: [10.1007/978-3-319-60789-4](https://doi.org/10.1007/978-3-319-60789-4)

主要内容

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表达式语言

◎ 让我们先从表达式语言开始

`x - 11`

`(x - 11) * 2`

`x` 是表达式中的自由变量 (free variable)

`if (x - 11) == 0 then y + 2 else y / 3`

`x` 和 `y` 是表达式中的自由变量

```
let x = 7 in
  let y = 2 in
    if (x - 11) == 0 then y + 2 else y / 3
  end
end
```

`let x = exp1 in exp2 end` 是引入变量绑定的表达式, 它首先计算 `exp1` 的值并绑定到 `x` 上, 再计算并返回 `exp2` 的值

```
let x = 7 in
  let y = 2 in
    let y = let x = x - 1 in x + y end
    in x - y
  end
end
```

`let` 表达式可以嵌套, 并且可以引入重复的变量绑定, 需要考虑变量的作用域问题

表达式语言的语法

- 本讲不考虑语法分析问题, 直接讨论**抽象语法**

$Exp ::= \text{const}(n) \mid \text{op}(Exp_1, Exp_2) \mid \text{if}(Exp_1, Exp_2, Exp_3) \mid \text{var}(x) \mid \text{let}(x, Exp_1, Exp_2)$

$(x - 11) * 2 \longrightarrow \text{mul}(\text{sub}(\text{var}("x"), \text{const}(11)), \text{const}(2))$

if $(x - 11) == 0$ **then** $y + 2$ **else** $y / 3$

$\text{if}(\text{eq}(\text{sub}(\text{var}("x"), \text{const}(11)), \text{const}(0)), \text{add}(\text{var}("y"), \text{const}(2)), \text{div}(\text{var}("y"), \text{const}(3)))$

let $x = 7$ **in**
 let $y = 2$ **in**
 if $(x - 11) == 0$ **then** $y + 2$ **else** $y / 3$
 end
end

$\text{let}("x", \text{const}(7), \text{let}("y", \text{const}(2), \dots))$

表达式语言的解释求值

- ◎ 如何构造一个属性文法对表达式进行求值？
- ◎ 对表达式求值需要知道其中所有自由变量的值
- ◎ 环境(environment): 维护自由变量到值的绑定的数据结构
 - ❖ 用符号 ρ 来指代环境
 - ❖ 用 $[]$ 表示空环境, 即没有任何变量绑定
 - ❖ 用 $[var \mapsto val]\rho$ 表示在 ρ 上扩展 var 到 val 的绑定后得到的新环境
 - ❖ 允许有相同的变量绑定, 后绑定的「覆盖」先绑定的
 - ❖ 用 $[var_1 \mapsto val_1, var_2 \mapsto val_2]\rho$ 表示 $[var_1 \mapsto val_1]([var_2 \mapsto val_2]\rho)$
 - ❖ 用 $[var_1 \mapsto val_1, var_2 \mapsto val_2, \dots]$ 描述一个完整的环境

表达式语言的解释求值

$\rho = [x \mapsto 20]$

$(x - 11) * 2$

求值结果为 18

$\rho = [y \mapsto 2, x \mapsto 11]$

if $(x - 11) == 0$ **then** $y + 2$ **else** $y / 3$

求值结果为 4

$\rho = []$

初始为空环境

```

let x = 7 in
  let y = 2 in
    let y = let x = x - 1 in x + y end
  in x - y
end
end

```

$\rho_1 = [x \mapsto 7]$

$\rho_2 = [y \mapsto 2, x \mapsto 7]$

$\rho_3 = [x \mapsto 6, y \mapsto 2, x \mapsto 7]$

$\rho_4 = [y \mapsto 8, y \mapsto 2, x \mapsto 7]$

求值结果为 -1

表达式语言的解释求值

$$Exp ::= \text{const}(n) \mid \text{op}(Exp_1, Exp_2) \mid \text{if}(Exp_1, Exp_2, Exp_3) \mid \text{var}(x) \mid \text{let}(x, Exp_1, Exp_2)$$

综合属性 val 记录求值结果

产生规则	属性计算规则
$E \rightarrow \text{const}(n)$	$E.val = n$
$E \rightarrow \text{op}(E_1, E_2)$	$E_1.\rho = E.\rho \quad E_2.\rho = E.\rho$ $E.val = f_{\text{op}}(E_1.val, E_2.val)$
$E \rightarrow \text{if}(E_1, E_2, E_3)$	$E_1.\rho = E.\rho \quad E_2.\rho = E.\rho \quad E_3.\rho = E.\rho$ $E.val = E_1.val ? E_2.val : E_3.val$
$E \rightarrow \text{var}(x)$	$E.val = E.\rho(x)$
$E \rightarrow \text{let}(x, E_1, E_2)$	$E_1.\rho = E.\rho$ $E_2.\rho = [x \mapsto E_1.val]E.\rho$ $E.val = E_2.val$

继承属性 ρ 记录求值环境

表达式语言的解释求值

- 为了方便后面讨论(比如递归), 使用**逻辑系统**表述求值规则
- 用 $\rho \vdash E \Rightarrow v$ 表示**三元关系** (ρ, E, v) , 表示「在环境 ρ 下对表达式 E 进行求值的结果是 v 」

横线上方表示「前提」

$$\frac{}{\rho \vdash \text{const}(n) \Rightarrow n} \qquad \frac{\rho \vdash E_1 \Rightarrow v_1 \quad \rho \vdash E_2 \Rightarrow v_2 \quad v = f_{\text{op}}(v_1, v_2)}{\rho \vdash \text{op}(E_1, E_2) \Rightarrow v}$$

横线下方表示「结论」

$$\frac{\rho \vdash E_1 \Rightarrow \text{true} \quad \rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{if}(E_1, E_2, E_3) \Rightarrow v}$$

$$\frac{\rho \vdash E_1 \Rightarrow \text{false} \quad \rho \vdash E_3 \Rightarrow v}{\rho \vdash \text{if}(E_1, E_2, E_3) \Rightarrow v}$$

$$\frac{\rho(x) = v}{\rho \vdash \text{var}(x) \Rightarrow v}$$

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \quad [x \mapsto v_1]\rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{let}(x, E_1, E_2) \Rightarrow v}$$

一阶函数

- 在表达式语言的基础上加入**一阶函数**，即函数的参数和返回值必须是标量值(比如布尔值、整型值)

```
let f x = x - 11 in f (f 77) end
```

定义了一个名为 f 的函数

```
let f x =  
  let g y = x + y in g (2 * x) end  
in  
  f 7  
end
```

定义了一个名为 f 的函数，其内部嵌套定义了一个名为 g 的函数，而 g 的函数体使用了 f 函数的参数

```
let f x =  
  if x == 0 then 1 else 2 * f (x - 1)  
in  
  f y  
end
```

定义了一个名为 f 的递归函数，整个表达式计算的返回值为 2^y

一阶函数的解释求值

$$Exp ::= \dots \mid \text{letfun}(f, x, Exp_1, Exp_2) \mid \text{call}(f, Exp_1)$$

● 需要在环境中记录函数名到函数定义的映射

❖ 对于 $\text{letfun}(f, x, E_1, E_2)$, 记录 $\text{fun}(f, x, E_1)$ 是否足够?

$$\rho = [f \mapsto \text{fun}(f, x, \text{sub}(\text{var}("x"), \text{const}(11)))]$$

```
let f x = x - 11 in f (f 77) end
```

求值结果为 55

```
let f x =  
  let g y = x + y in g (2 * x) end  
in  
  f 7  
end
```

$$\rho = [g \mapsto \text{fun}(g, y, \text{add}(\text{var}("x"), \text{var}("y")), x \mapsto 7)]$$

对 g 的函数调用求值时, 需要知道 g 被加入环境时的 x 的值

为什么需要这个限制?

一阶函数的解释求值

```

let f x =
  let g y = x + y in
  let x = 42 in g (2 * x)
end
in
f 7
end
        
```

$\rho = [x \mapsto 42, g \mapsto \text{fun}(g, y, \text{add}(\text{var}("x"), \text{var}("y")), x \mapsto 7)]$

在本讲中, 我们使用「静态」作用域
(也称为「词法」作用域)

求值结果为 91

$$\frac{[f \mapsto \text{fun}(f, x, E_1)]\rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{letfun}(f, x, E_1, E_2) \Rightarrow v}$$

这样做是否正确?

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \quad \boxed{\rho = [\dots][f \mapsto \text{fun}(f', x', E'_1)]\rho'} \quad [x' \mapsto v_1]\rho' \vdash E'_1 \Rightarrow v}{\rho \vdash \text{call}(f, E_1) \Rightarrow v}$$

一阶函数的解释求值

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \quad \rho = [\dots][f \mapsto \text{fun}(f', x', E'_1)]\rho' \quad [x' \mapsto v_1]\rho' \vdash E'_1 \Rightarrow v}{\rho \vdash \text{call}(f, E_1) \Rightarrow v}$$

```

let f x =
  if x == 0 then 1 else 2 * f (x - 1)
in
  f 2
end
        
```

$\rho_2 = [x \mapsto 2]$

环境中没有 f 的定义

$\rho_1 = [f \mapsto \text{fun}(f, x, \dots)]$

为了支持递归调用，在环境中继续保留被调用函数的定义

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \quad \rho = [\dots][f \mapsto \text{fun}(f', x', E'_1)]\rho' \quad [x' \mapsto v_1, f' \mapsto \dots]\rho' \vdash E'_1 \Rightarrow v}{\rho \vdash \text{call}(f, E_1) \Rightarrow v}$$

```

let f x =
  if x == 0 then 1 else 2 * f (x - 1)
in
  f 2
end
        
```

$\rho_2 = [x \mapsto 2, f \mapsto \text{fun}(f, x, \dots)]$

$\rho_3 = [x \mapsto 1, f \mapsto \text{fun}(f, x, \dots)]$
 $\rho_4 = [x \mapsto 0, f \mapsto \text{fun}(f, x, \dots)]$

求值结果为 4

高阶函数

函数的参数和返回值也可以是函数

```
let f x =  
  let g y = x + y in g end  
in  
  (f 2) 3  
end
```

返回一个函数, 可以认为 f 是一个双参数函数(也称为「柯里化」)

第一次函数调用 f 2 返回一个「加二」函数, 第二次函数调用计算结果为 5

```
let f x =  
  let g y = x + y in g end  
in  
  let h t = t 3 in  
    h (f 2)  
  end  
end
```

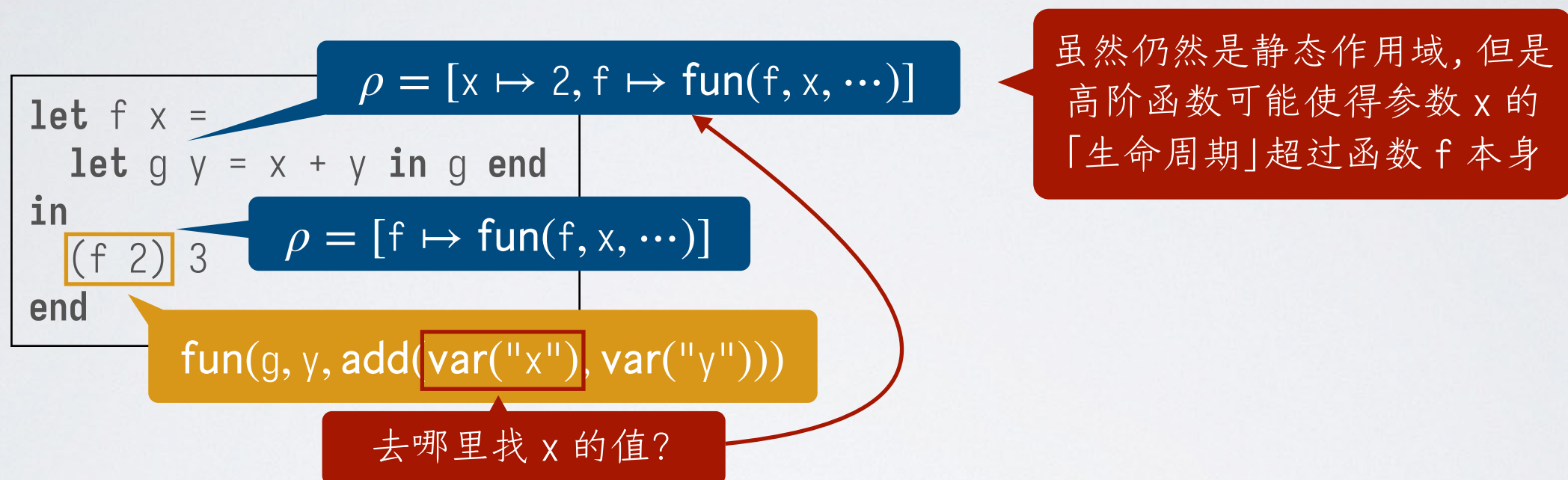
参数 t 是一个函数, 它接受整型值作为参数

函数调用 f 2 返回一个「加二」函数, 并作为参数传递给 h 函数

高阶函数的解释求值

● 需要在环境中记录函数名到函数定义的映射

❖ 对于 $\text{letfun}(f, x, E_1, E_2)$, 记录 $\text{fun}(f, x, E_1)$ 是否足够?

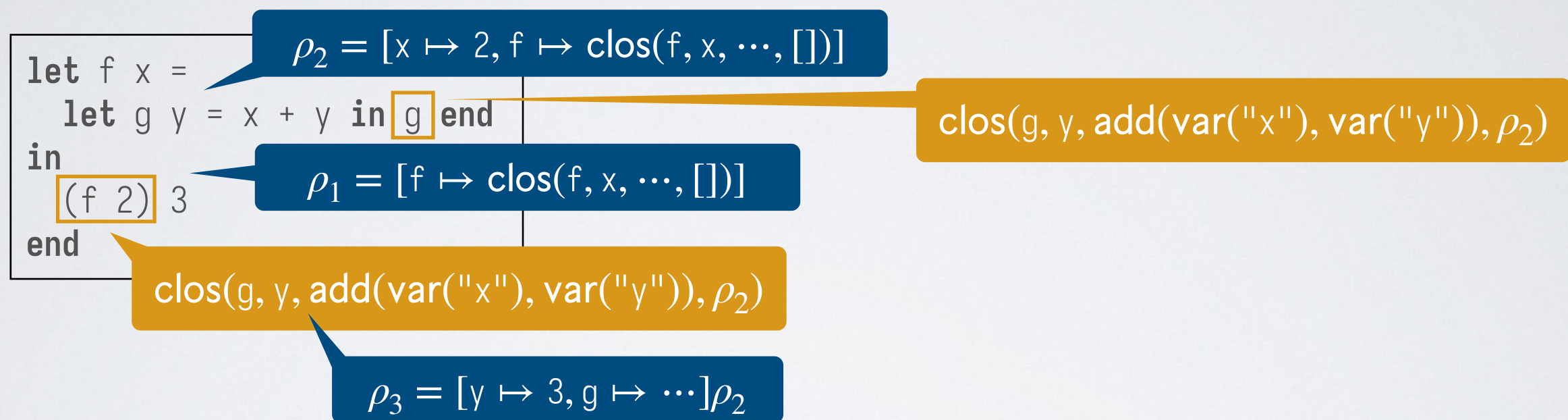


● 闭包 (closure): 在记录函数定义时, 记录「当时」的环境

$$\frac{[f \mapsto \text{clos}(f, x, E_1, \rho)] \rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{letfun}(f, x, E_1, E_2) \Rightarrow v}$$

高阶函数的解释求值

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \quad \boxed{\rho(f) = \text{clos}(f', x', E'_1, \rho')} \quad [x' \mapsto v_1, f' \mapsto \dots] \rho' \vdash E'_1 \Rightarrow v}{\rho \vdash \text{call}(f, E_1) \Rightarrow v}$$

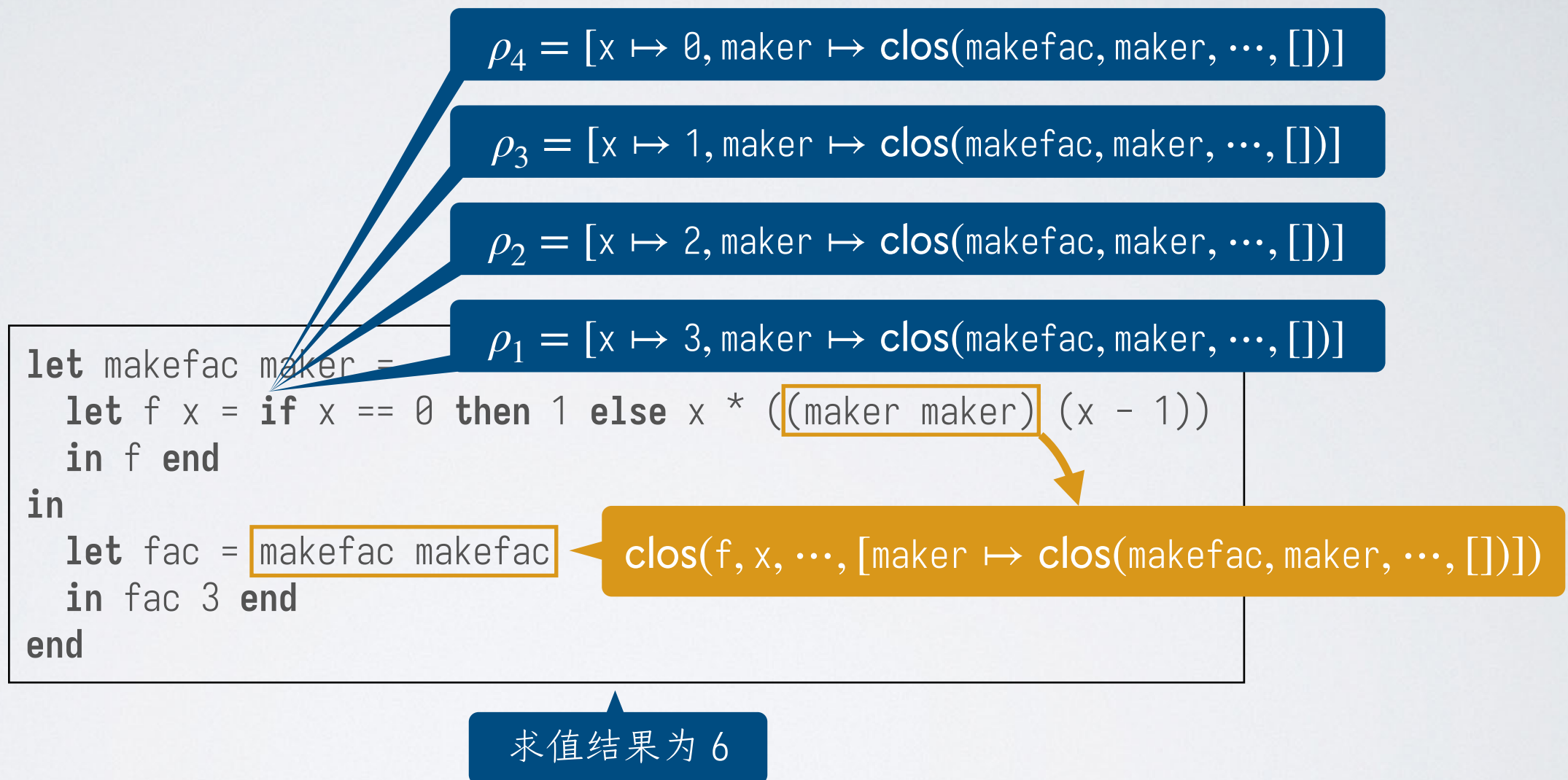


◎ 函数调用的函数 f 也可以是任意的表达式了：

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \quad \boxed{\rho \vdash E_2 \Rightarrow \text{clos}(f', x', E'_1, \rho')} \quad [x' \mapsto v_1, f' \mapsto \dots] \rho' \vdash E'_1 \Rightarrow v}{\rho \vdash \text{call}(E_2, E_1) \Rightarrow v}$$

高阶函数示例

下面这段代码在做什么计算？



即便不原生支持递归函数，高阶函数也能「模拟」递归

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- ◎ **中间表示：若干种正规形式**
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函数式语言的中间表示

- 由于**高阶函数**的存在, 之前讲的三地址代码等 IR 都不合适
 - ❖ 或者说, 在转换为三地址代码前还需要一种 IR
- 回顾: 三地址代码主要简化了嵌套表达式的结构
- 作为表达式语言, 函数式语言的 IR 也注重简化嵌套结构
 - ❖ **K-Normal Form**: 运算分量、分支条件不嵌套, let 可以随意嵌套
 - ❖ **A-Normal Form**: 运算分量、分支条件、let ... in 中间部分不嵌套
 - ❖ **Continuation-Passing Style**: 运算分量分支条件不嵌套, 只有尾递归
 - ❖ 从上往下, 嵌套结构越简单, 转换算法越复杂

K-Normal Form

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- ◎ 运算分量、分支条件不嵌套, let 可以随意嵌套:

$$A ::= \text{const}(n) \mid \text{var}(x)$$

原子(atom)表达式

$$E ::= A \mid \text{op}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(A_1, A_2)$$

```
if (x - 11) == 0 then y + 2 else y / 3
```

```
let t1 = x - 11 in
  let t2 = t1 == 0 in
    if t2 then y + 2 else y / 3
  end
end
```

K-Normal Form

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- 运算分量、分支条件不嵌套, let 可以随意嵌套:

$$A ::= \text{const}(n) \mid \text{var}(x)$$

原子(atom)表达式

$$E ::= A \mid \text{op}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(A_1, A_2)$$

```
let f x =
  if x == 0 then 1 else 2 * f (x - 1)
in
  f (f 7)
end
```

```
let f x =
  let t1 = x == 0 in
    if t1 then 1 else
      let t2 = x - 1 in
        let t3 = f t2 in 2 * t3
      end
    end
  in
    let t4 = f 7 in
      f t4
    end
end
```

K-Normal Form

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

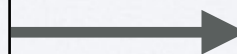
- 运算分量、分支条件不嵌套, let 可以随意嵌套:

$$A ::= \text{const}(n) \mid \text{var}(x)$$

原子(atom)表达式

$$E ::= A \mid \text{op}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(A_1, A_2)$$

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
    end
  end
end
```



```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
    end
  end
end
```

A-Normal Form

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- 运算分量、分支条件、let ... in 中间部分不嵌套：

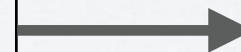
$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2)$$

「复杂」表达式

$$E ::= C \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$$

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```



```
let x = 7 in
  let y = 2 in
    let w = x - 1 in
      let z = w + y in
        x - z
      end
    end
  end
```

A-Normal Form

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- ◎ 运算分量、分支条件、let ... in 中间部分不嵌套：

$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2)$$

$$E ::= C \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$$

```
let f x =
  let y =
    if x == 0 then 1 else x * f (x - 1)
  in
    (y + 2) / 5
end
in
  f 7
end
```

分支表达式后面的代码会重复生成，可能造成代码大小指数增长

```
let f x =
  let t1 = x == 0 in
    if t1 then
      let y = 1 in let t2 = y + 2 in t2 / 5 end end
    else
      let t3 = x - 1 in
        let t4 = f t3 in
          let y = x * t4 in
            let t5 = y + 2 in t5 / 5 end end
          end end
    end
  in f 7 end
```

A-Normal Form

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- 运算分量、分支条件、let ... in 中间部分不嵌套：

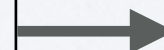
$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$$

$$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$$

允许 if 出现在
let ... in 中间部分

```
let f x =
  let y =
    if x == 0 then 1 else x * f (x - 1)
  in
    (y + 2) / 5
  end
in
  f 7
end
```



```
let f x =
  let t1 = x == 0 in
    let y =
      if t1 then 1 else
        let t2 = x - 1 in
          let t3 = f t2 in x * t3 end
        end
    in
      let t4 = y + 2 in t4 / 5 end
    end
  end
in f 7 end
```

Continuation-Passing Style

- 「continuation」的概念比较难解释, 本讲中考虑如下约束:
- 任何的函数调用都不会(或者说不需要)返回其调用者**
- 如何实现这个效果?

每个函数接受一个回调(callback)
来模拟函数返回

```
function id(x) {
  return x ;
}
```

```
function id(x, ret) {
  ret(x);
}
```

```
function fac(n) {
  if (n == 0)
    return 1;
  else
    return n * fac(n - 1);
}
```

```
function fac(n, ret) {
  if (n == 0)
    ret(1);
  else
    fac(n - 1, function (t1) { ret(n * t1) });
}
```

构造一个新的回调

Continuation-Passing Style

```
function fac(n) {
  return tail_fac(n, 1);
}

function tail_fac(n, a) {
  if (n == 0)
    return a;
  else
    return tail_fac(n - 1, n * a);
}
```

尾递归实现的阶乘

```
function fac(n, ret) {
  tail_fac(n, 1, ret);
}

function tail_fac(n, a, ret) {
  if (n == 0)
    ret(a);
  else
    tail_fac(n - 1, n * a, ret);
}
```

尾递归转换后不引入新回调

```
function fib(n) {
  if (n < 2)
    return 1;
  else
    return fib(n - 1) + fib(n - 2);
}
```

```
function fib(n, ret) {
  if (n < 2)
    ret(1);
  else
    fib(n - 1,
      function (t1) {
        fib(n - 2, function (t2) { ret(t1 + t2) });
      });
}
```

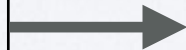
第一处递归的回调

第二处递归的回调

CPS 作为一种编程方式

- ◎ 这种编程方式称为 **Continuation-Passing Style (CPS)**
- ◎ 在异步编程中其实挺常见的，通过回调来实现**非阻塞**计算
 - ❖ `fetch(url)` 同步调用，会阻塞当前计算直到网络访问返回
 - ❖ `fetch(url, ret)` 异步调用，不阻塞计算，返回后由 `ret` 回调处理
- ◎ 例如，考虑组合数计算函数，其中的阶乘是服务器远程计算：

```
function comb(n, k) {  
  return fac(n) / (fac(k) * fac(n - k));  
}  
  
function fac(n) {  
  var res = fetch("./fact/" + n);  
  return eval(res);  
}
```



```
function comb(n, k, ret) {  
  fac(n, function (fn) {  
    fac(k, function (fk) {  
      fac(n - k, function (fnk) {  
        ret(fn / (fk * fnk))  
      })  
    })  
  })  
});  
  
function fac(n, ret) {  
  fetch("./fact/" + n, function (res) { ret(eval(res)) });  
}
```

CPS 作为一种编程方式

- 如果用 CPS 风格写程序，需要改变**异常处理**等控制流的实现

```
function fac(n) {  
  if (n < 0)  
    throw "n < 0";  
  else if (n == 0)  
    return 1;  
  else  
    return n * fac(n - 1);  
}  
  
function total_fac(n) {  
  try {  
    return fac(n);  
  } catch (ex) {  
    return false;  
  }  
}
```

可以有多个回调函数，这里的
thro 回调用于进行异常处理

```
function fac(n, ret, thro) {  
  if (n < 0)  
    thro("n < 0");  
  else if (n == 0)  
    ret(1);  
  else  
    fac(n - 1, function (t1) { ret(n * t1) }, thro);  
}  
  
function total_fac(n, ret) {  
  fac(n, ret, function (ex) { ret(false) });  
}
```

进行异常处理的回调

CPS 作为一种中间表示

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- 运算分量、分支条件不嵌套，只有尾递归，每个函数加上回调参数：

$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$C ::= A \mid \text{op}(A_1, A_2)$$

$$E ::= C \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, \overrightarrow{x_1, \dots, x_n}, E_1, E_2) \mid \text{call}(A_0, \overrightarrow{A_1, \dots, A_n})$$

```
let f x =
  if x == 0 then 1 else 2 * f (x - 1)
in
  f 7
end
```



```
let f x ret1 =
  let t1 = x == 0 in
    if t1 then ret1(1)
    else
      let t2 = x - 1 in
        let ret2 t3 = let t4 = 2 * t3 in ret1(t4) end
        in f t2 ret2 end
      end end
in
  let ret3 t5 = t5 in f 7 ret3 end
end
```

CPS 作为一种中间表示

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

- 运算分量、分支条件不嵌套，只有尾递归，**每个函数加上回调参数**：

$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$C ::= A \mid \text{op}(A_1, A_2)$$

$$E ::= C \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, \overrightarrow{x_1, \dots, x_n}, E_1, E_2) \mid \text{call}(A_0, \overrightarrow{A_1, \dots, A_n})$$

```
let f x =
  let y =
    if x == 0 then 1 else x * f (x - 1)
  in
    (y + 2) / 5
end
in
  ...
end
```

分支表达式后面的代码会重复生成，可能造成代码大小指数增长

```
let f x ret1 =
  let t1 = x == 0 in
    if t1 then
      let y = 1 in let t2 = y + 2 in let t3 = t2 / 5 in
        ret1(t3) end end end
    else
      let t4 = x - 1 in
        let ret2 t5 = let y = x * t5 in
          let t6 = y + 2 in let t7 = t6 / 5 in
            ret1(t7) end end end
        in f t4 ret2 end
    end end in ... end
```

CPS 作为一种中间表示

$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$

- 运算分量、分支条件不嵌套，只有尾递归，**每个函数加上回调参数**

```
let f x =
  let y =
    if x == 0 then 1 else x * f (x - 1)
  in
    (y + 2) / 5
end
in
...
end
```



```
let f x ret1 =
  let t1 = x == 0 in
    let retif y =
      let t2 = y + 2 in let t3 = t2 / 5 in
        ret1(t3) end end
    in
      if t1 then retif(1)
      else
        let t4 = x - 1 in
          let ret2 t5 =
            let y = x * t5 in retif(y) end
          in f t4 ret2 end
        end
      end
    end
  in ... end
```

为分支表达式的后续计算
引入一个新的回调

CPS 与 SSA

- ◎ 尾递归函数调用就像是控制流图上的 goto 语句
- ◎ 对于一阶函数, 可以改写为支持带参数 goto 的 Koopa IR 形式

```
let f x ret1 =  
  let t1 = x == 0 in  
    let retif y =  
      let t2 = y + 2 in let t3 = t2 / 5 in  
        ret1(t3) end end  
  in  
    if t1 then retif(1)  
    else  
      let t4 = x - 1 in  
        let ret2 t5 =  
          let y = x * t5 in retif(y) end  
        in f t4 ret2 end  
    end  
  end  
in ... end
```

```
f(x):  
  t1 = x == 0  
  if t1 then  
    goto retif(1)  
  else  
    t4 = x - 1  
    t5 = f(t4)  
    y = x * t5  
    goto retif(y)  
retif(y):  
  t2 = y + 2  
  t3 = t2 / 5  
  return t3
```

主要内容

- ◎ 函数式编程语言 micro-ML
- ◎ 中间表示：若干种正规形式
- ◎ **前端到中端：源程序到正规形式的转换**
- ◎ 中端到后端：正规形式程序的闭包转换
- ◎ 中端上的优化

转换为 K-Normal Form (KNF)

$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$E ::= A \mid \text{op}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(A_1, A_2)$$

- 设计一个**属性文法**，把函数式程序转换为 KNF 形式

产生规则	属性计算规则
$E \rightarrow \text{const}(n)$	$E.knf = \text{const}(n)$
$E \rightarrow \text{op}(E_1, E_2)$	$E.knf = \text{let}(t_1, E_1.knf, \text{let}(t_2, E_2.knf, \text{op}(\text{var}(t_1), \text{var}(t_2))))$
$E \rightarrow \text{if}(E_1, E_2, E_3)$	$E.knf = \text{let}(t_1, E_1.knf, \text{if}(\text{var}(t_1), E_2.knf, E_3.knf))$
$E \rightarrow \text{var}(x)$	$E.knf = \text{var}(x)$
$E \rightarrow \text{let}(x, E_1, E_2)$	$E.knf = \text{let}(x, E_1.knf, E_2.knf)$
$E \rightarrow \text{letfun}(f, x, E_1, E_2)$	$E.knf = \text{letfun}(f, x, E_1.knf, E_2.knf)$
$E \rightarrow \text{call}(E_1, E_2)$	$E.knf = \text{let}(t_1, E_1.knf, \text{let}(t_2, E_2.knf, \text{call}(\text{var}(t_1), \text{var}(t_2))))$

生成临时变量名

转换为 A-Normal Form (ANF)

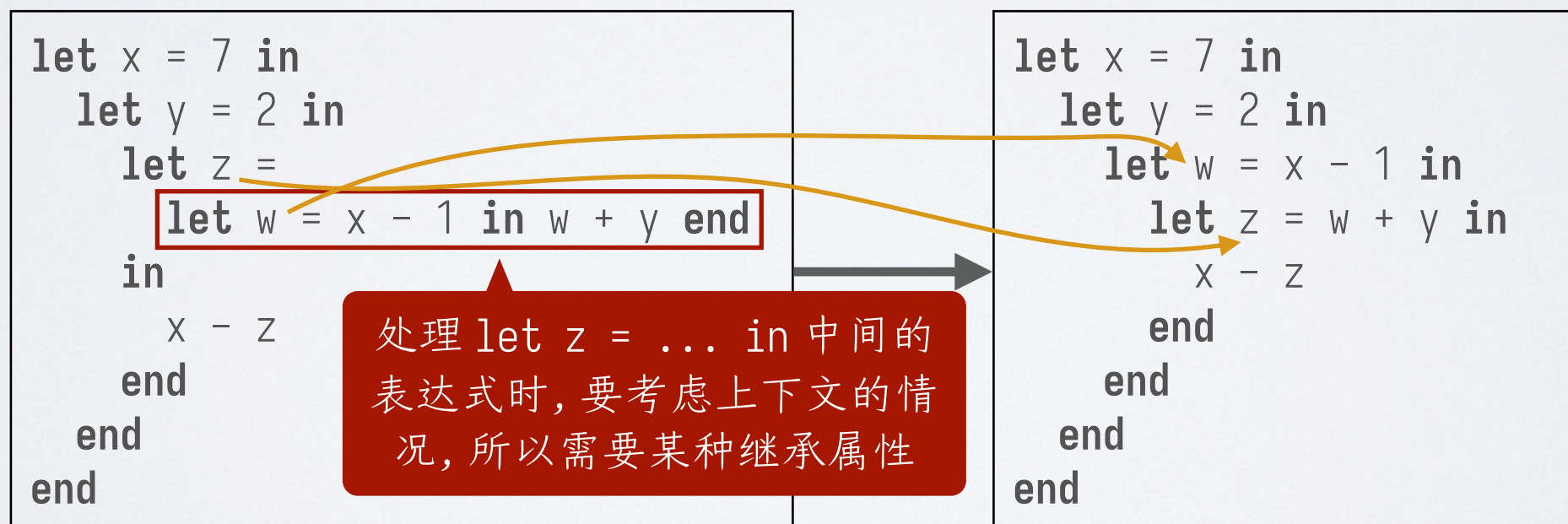
$$E ::= \text{const}(n) \mid \text{op}(E_1, E_2) \mid \text{if}(E_1, E_2, E_3) \mid \text{var}(x) \mid \text{let}(x, E_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \mid \text{call}(E_1, E_2)$$

$$A ::= \text{const}(n) \mid \text{var}(x)$$

$$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$$

$$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$$

- 先不考虑函数，主要考虑如何将嵌套 let 表达式「序列化」：



转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
          exp("let", t2, c2,
            k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

当 c1 是原子表达式
时, 不用生成 let 绑定

继承属性是一个「函数」, 接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 let)表示其结果的表达式, 返回在整个转换上下文中得到的表达式 E

$A ::= \text{const}(n) \mid \text{var}(x)$

$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$

$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
end
```

$k1 = \text{fun } (c) \{ \text{return } c; \}$

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
          exp("let", t2, c2,
            k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

继承属性是一个「函数」，接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 `let`)表示其结果的表达式，返回在整个转换上下文中得到的表达式 E

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 $E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

当 $c1$ 是原子表达式时，不用生成 `let` 绑定

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```

`k1 = fun (c) { return c; }`

`k2 = fun (c1) { return let("x", c1, norm("let y ...", k1)); }`

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
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      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
          exp("let", t2, c2,
            k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

当 c1 是原子表达式
时, 不用生成 let 绑定

继承属性是一个「函数」, 接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 let)表示其结果的表达式, 返回在整个转换上下文中得到的表达式 E

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```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```

$k1 = \text{fun } (c) \{ \text{return } c; \}$

转换为 A-Normal Form (ANF)

```
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  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
          exp("let", t2, c2,
            k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

继承属性是一个「函数」，接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 `let`)表示其结果的表达式，返回在整个转换上下文中得到的表达式 E

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当 $c1$ 是原子表达式时，不用生成 `let` 绑定

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```

`k1 = fun (c) { return c; }`

`k3 = fun (c1) { return let("y", c1, norm("let z ...", k1)); }`

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
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      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
          exp("let", t2, c2,
            k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

当 c1 是原子表达式
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$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```

$k1 = \text{fun } (c) \{ \text{return } c; \}$

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
          exp("let", t2, c2,
            k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

继承属性是一个「函数」，接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 `let`)表示其结果的表达式，返回在整个转换上下文中得到的表达式 E

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 $E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

当 $c1$ 是原子表达式时，不用生成 `let` 绑定

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```

```
k1 = fun (c) { return c; }
```

```
k4 = fun (c1) { return let("z", c1, norm("x - z", k1)); }
```

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
                  exp("let", t2, c2,
                    k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

当 c1 是原子表达式
时, 不用生成 let 绑定

继承属性是一个「函数」, 接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 let)表示其结果的表达式, 返回在整个转换上下文中得到的表达式 E

$A ::= \text{const}(n) \mid \text{var}(x)$

$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$

$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y end
    in
      x - z
  end
end
```

$k1 = \text{fun } (c) \{ \text{return } c; \}$

$k4 = \text{fun } (c1) \{ \text{return let}("z", c1, \text{norm}("x - z", k1)); \}$

$k5 = \text{fun } (c1) \{ \text{return let}("w", c1, \text{norm}("w + y", k4)); \}$

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
                  exp("let", t2, c2,
                    k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

继承属性是一个「函数」, 接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 `let`)表示其结果的表达式, 返回在整个转换上下文中得到的表达式 E

$A ::= \text{const}(n) \mid \text{var}(x)$
 $C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$
 $E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

当 $c1$ 是原子表达式时, 不用生成 `let` 绑定

```
let x = 7 in
  let y = 2 in
    let z =
      let w = x - 1 in w + y
    end
    in
      x - z
  end
end
```

```
k1 = fun (c) { return c; }
```

```
k4 = fun (c1) { return let("z", c1, norm("x - z", k1)); }
```

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
                  exp("let", t2, c2,
                    k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

当 c1 是原子表达式
时, 不用生成 let 绑定

继承属性是一个「函数」, 接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 let)表示其结果的表达式, 返回在整个转换上下文中得到的表达式 E

$A ::= \text{const}(n) \mid \text{var}(x)$
 $C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$
 $E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

```
let x = 7 in
  let y = 2 in
    let w = x - 1 in
      let z = w + y in
        x - z
      end
    end
  end
end
```

$k1 = \text{fun } (c) \{ \text{return } c; \}$

转换为 A-Normal Form (ANF)

```
function normalize(e, k) {
  if (e.kind == "let") {
    return normalize(e.e1, function (c1) {
      return exp("let", e.x, c1, normalize(e.e2, k));
    });
  }
  else if (e.kind == "var" || e.kind == "const")
    return k(e);
  else if (e.kind == "op") {
    return normalize(e.e1, function (c1) {
      var t1 = gentmp();
      return normalize(e.e2, function (c2) {
        var t2 = gentmp();
        return exp("let", t1, c1,
                  exp("let", t2, c2,
                    k(exp("op", exp("var", t1), exp("var", t2))))));
      });
    });
  }
  ...
}
```

当 c1 是原子表达式
时, 不用生成 let 绑定

继承属性是一个「函数」, 接受一个表达式 C 表示 e 转换后(可能已经生成了若干层 let)表示其结果的表达式, 返回在整个转换上下文中得到的表达式 E

$A ::= \text{const}(n) \mid \text{var}(x)$

$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$

$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

```
let x = 7 in
  let y = 2 in
    let w = x - 1 in
      let z = w + y in
        x - z
      end
    end
  end
end
```

转换为 A-Normal Form (ANF)

$A ::= \text{const}(n) \mid \text{var}(x)$

$C ::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3)$

$E ::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2)$

```
else if (e.kind == "if") {
    return normalize(e.e1, function (c1) {
        var t1 = gentmp();
        return exp("let", t1, c1,
                    k(exp("if", exp("var", t1),
                                normalize(e.e2, function (c) { return c; })),
                                normalize(e.e3, function (c) { return c; })))));
    })
}
else if (e.kind == "letfun") {
    return exp("let", e.f, e.x,
              normalize(e.e1, function (c) { return c; })),
            normalize(e.e2, k));
}
else if (e.kind == "call") {
    ... // similar to the op case
}
```

if 是 C 型表达式, 可以作为 k 的参数

如果在这里使用 k, 可能导致代码大小指数增长

对函数体定义转换时不用考虑 k

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    if x == 0 then 1 else x * f (x - 1)  
  in  
    (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    if x == 0 then 1 else x * f (x - 1)  
  in  
    (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    if x == 0 then 1 else x * f (x - 1)  
  in  
    (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    if x == 0 then 1 else x * f (x - 1)  
  in  
    (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k4 = fun (c1) { t1=gentmp(); return let(t1, c1, k3(if(t1, norm("1", id), norm("x * f(x-1)", id)))); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else x * f (x - 1)  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k5 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else x * f (x - 1)  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else x * f (x - 1)  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

```
k7 = fun (c1) { return norm("f(x-1)", fun (c2) { t2=gentmp(); return let(t2, c2, k6(c1 * t2)); }); }); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else x * f (x - 1)  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

```
k8 = fun (c2) { t2=gentmp(); return let(t2, c2, k6("x" * t2)); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else x * f (x - 1)  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

```
k8 = fun (c2) { t2=gentmp(); return let(t2, c2, k6("x" * t2)); }
```

```
k9 = fun (c1) { return norm("x-1", fun (c2) { t2=gentmp(); return let(t2, c2, k8(c1 t2)); }); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else x * f (x - 1)  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

```
k8 = fun (c2) { t2=gentmp(); return let(t2, c2, k6("x" * t2)); }
```

```
k10 = fun (c2) { t2=gentmp(); return let(t2, c2, k8("f" t2)); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else  
    let t2 = x - 1 in x * f t2 end  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

```
k8 = fun (c2) { t2=gentmp(); return let(t2, c2, k6("x" * t2)); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else  
      let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

```
k6 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let y =  
    let t1 = x == 0 in  
    if t1 then 1 else  
    let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
  end  
in  
  (y + 2) / 5  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k3 = fun (c1) { return let("y", c1, norm("(y + 2) / 5", k2)); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let t1 = x == 0 in  
  let y =  
    if t1 then 1 else  
    let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
  in  
    (y + 2) / 5  
  end  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let t1 = x == 0 in  
  let y =  
    if t1 then 1 else  
    let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
  in  
    (y + 2) / 5  
  end  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k11 = fun (c1) { t1=gentmp(); return norm("5", fun (c2) { return k2(t1 / c2); }); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let t1 = x == 0 in  
    let y =  
      if t1 then 1 else  
        let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
    in  
      let t4 = y + 2 in t4 / 5 end  
  end  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

```
k12 = fun (c2) { return k2(t1 / c2); }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let t1 = x == 0 in  
    let y =  
      if t1 then 1 else  
        let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
    in  
      let t4 = y + 2 in t4 / 5 end  
  end  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

```
k2 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let t1 = x == 0 in  
  let y =  
    if t1 then 1 else  
    let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
  in  
    let t4 = y + 2 in t4 / 5 end  
  end  
end  
in  
  f 7  
end
```

```
k1 = fun (c) { return c; }
```

转换为 A-Normal Form (ANF)

```
let f x =  
  let t1 = x == 0 in  
    let y =  
      if t1 then 1 else  
        let t2 = x - 1 in let t3 = f t2 in x * t3 end end  
    in  
      let t4 = y + 2 in t4 / 5 end  
  end  
end  
in  
  f 7  
end
```

- 练习：设计一个属性文法，把函数式程序转换为 ANF 形式
- 挑战：如何把函数式程序转换为 CPS 形式？

主要内容

- ◎ 函数式编程语言 micro-ML
- ◎ 中间表示：若干种正规形式
- ◎ 前端到中端：源程序到正规形式的转换
- ◎ **中端到后端：正规形式程序的闭包转换**
- ◎ 中端上的优化

以 ANF 为中间表示

● 如何处理嵌套函数、高阶函数？

```
let f x =  
  let g y = x + y in g end  
in  
  (f 2) 3  
end
```

```
let f x =  
  let g y = x + y in g end  
in  
  let t1 = f 2 in t1 3 end  
end
```

```
let f x =  
  let g y = x + y in g end  
in  
  let h t = t 3 in  
    h (f 2)  
  end  
end
```

```
let f x =  
  let g y = x + y in g end  
in  
  let h t = t 3 in  
    let t1 = f 2 in h t1 end  
  end  
end
```

转换为显式构造闭包的形式

● 希望转换后所有的函数都是「最外层」函数

「最外层」函数用 @ 标记

● 需要显式进行闭包的构造

函数所需的闭包参数

显式构造闭包

```
let f x =
  let g y = x + y in g end
in
  (f 2) 3
end
```

```
let f x =
  let g y = x + y in g end
in
  let t1 = f 2 in t1 3 end
end
```

```
fun @f {} x =
  let g = clos @g {x} in g end
fun @g {x} y = x + y

let f = clos @f {} in
let t1 = f 2 in t1 3 end end
```

```
let f x =
  let g y = x + y in g end
in
  let h t = t 3 in
    h (f 2)
  end
end
```

```
let f x =
  let g y = x + y in g end
in
  let h t = t 3 in
    let t1 = f 2 in h t1 end
  end
end
```

```
fun @f {} x =
  let g = clos @g {x} in g end
fun @g {x} y = x + y
fun @h {} t = t 3

let f = clos @f {} in
let h = clos @h {} in
let t1 = f 2 in h t1 end end end
```

闭包转换 (closure conversion)

$$\begin{aligned} A &::= \text{const}(n) \mid \text{var}(x) \\ C &::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3) \\ E &::= C \mid \text{let}(x, C_1, E_2) \mid \text{letfun}(f, x, E_1, E_2) \end{aligned}$$
$$\begin{aligned} A &::= \text{const}(n) \mid \text{var}(x) \\ C &::= A \mid \text{op}(A_1, A_2) \mid \text{call}(A_1, A_2) \mid \text{if}(A_1, E_2, E_3) \\ E &::= C \mid \text{let}(x, C_1, E_2) \mid \text{letclos}(f, @\ell, \{\overrightarrow{A_1}, \dots, \overrightarrow{A_m}\}, E_2) \\ P &::= E \mid \text{fun}(@\ell, \{\overrightarrow{y_1}, \dots, \overrightarrow{y_m}\}, x, E), P \end{aligned}$$

- 针对每个 $\text{letfun}(f, x, E_1, E_2)$, 做如下处理:
 - ❖ 生成一个新的函数标记 $@\ell$
 - ❖ 分析函数体定义中的「自由变量」, 以它们为闭包参数 $\overrightarrow{y_1}, \dots, \overrightarrow{y_m}$
 - ❖ 在最外层生成一个新的函数 $\text{fun}(@\ell, \{\overrightarrow{y_1}, \dots, \overrightarrow{y_m}\}, x, E_1)$
 - ❖ 把原来的 letfun 位置转换为 $\text{letclos}(f, @\ell, \{\overrightarrow{y_1}, \dots, \overrightarrow{y_m}\}, E_2)$
- 可以顺便做的优化: 如果知道具体的调用函数并且该函数没有自由变量, 就不用创建闭包

闭包转换 (closure conversion)

```
let makefac maker =  
  let f x = if x == 0 then 1 else x * ((maker maker) (x - 1))  
  in f end  
in  
  let fac = makefac makefac  
  in fac 3 end  
end
```



```
let makefac maker =  
  let f x =  
    if x == 0 then 1 else  
      let t1 = maker maker in  
        let t2 = x - 1 in  
          let t3 = t1 t2 in x * t3 end  
        end  
      end  
    in f end  
in  
  let fac = makefac makefac  
  in fac 3 end  
end
```

闭包转换 (closure conversion)

```
let makefac maker =  
  let f x =  
    if x == 0 then 1 else  
      let t1 = maker maker in  
        let t2 = x - 1 in  
          let t3 = t1 t2 in x * t3 end  
      end  
    in f end  
in  
  let fac = makefac makefac  
  in fac 3 end  
end
```

```
fun @makefac {} maker =  
  let f = clos @f {maker} in f end  
  
fun @f {maker} x =  
  if x == 0 then 1 else  
    let t1 = maker maker in  
      let t2 = x - 1 in  
        let t3 = t1 t2 in x * t3 end  
      end  
    end  
  
let makefac = clos @makefac {} in  
  let fac = makefac makefac in  
    fac 3  
  end  
end
```

闭包转换 (closure conversion)

每个函数都带一个环境参数，
记录其使用的自由变量

```
fun @makefac {} maker =  
  let f = clos @f {maker} in f end  
  
fun @f {maker} x =  
  if x == 0 then 1 else  
    let t1 = maker maker in  
      let t2 = x - 1 in  
        let t3 = t1 t2 in x * t3 end  
      end  
    end  
  
let makefac = clos @makefac {} in  
  let fac = makefac makefac in  
    fac 5  
  end  
end
```

```
function $makefac(env, maker) {  
  var f = { func: $f, env: { maker: maker } };  
  return f;  
}
```

构造闭包时，记录函数指针
func 和其闭包环境 env

```
function $f(env, x) {  
  if (x == 0)  
    return 1;  
  else {  
    var t1 = env.maker.func(env.maker.env, env.maker);  
    var t2 = x - 1;  
    var t3 = t1.func(t1.env, t2);  
    return x * t3;  
  }  
}
```

调用函数时，根据闭包的 func 取出函
数指针，闭包的 env 取出其求值环境

```
function main() {  
  var makefac = { func: $makefac, env: {} };  
  var fac = makefac.func(makefac.env, makefac);  
  return fac.func(fac.env, 5);  
}
```

主要内容

- ◎ 函数式编程语言 micro-ML
- ◎ 中间表示：若干种正规形式
- ◎ 前端到中端：源程序到正规形式的转换
- ◎ 中端到后端：正规形式程序的闭包转换
- ◎ 中端上的优化

以 ANF 为中间表示

◎ 回顾：复写传播、常量传播、公共子表达式消除、死代码消除

复写传播

```
let x = y in x + y end
```

```
let x = y in y + y end
```

常量传播

```
let x = 3 in  
  let y = 4 in x + y end  
end
```

```
let x = 3 in  
  let y = 4 in 3 + 4 end  
end
```

常量折叠

```
let x = 3 in  
  let y = 4 in 7 end  
end
```

公共子表达式消除

```
let t = x + y in x + y end
```

```
let t = x + y in t end
```

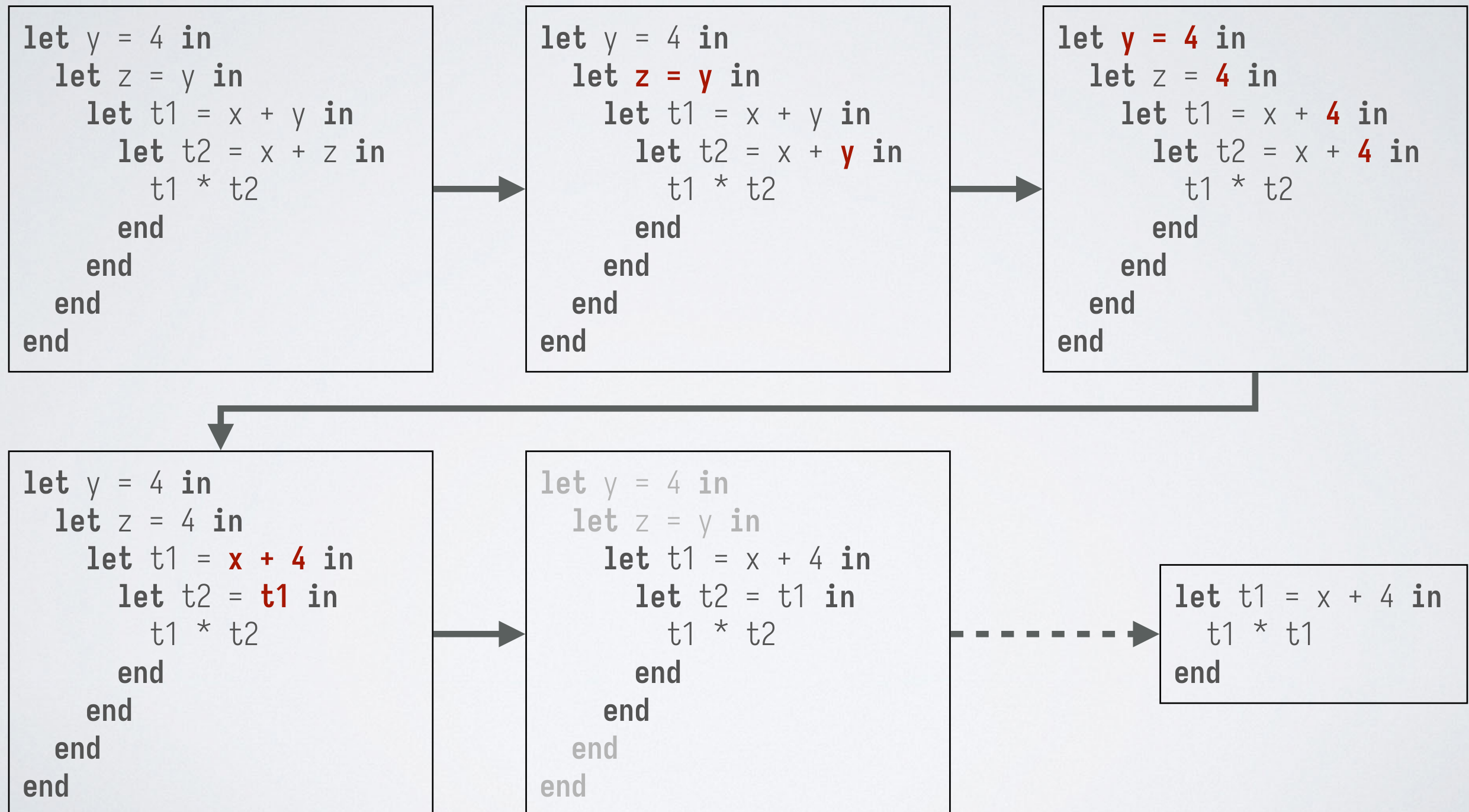
死代码消除

```
let t = x + y in x - y end
```

```
x - y
```

以 ANF 为中间表示

● 回顾：复写传播、常量传播、公共子表达式消除、死代码消除



复写传播

- 对于 **let** $x = y$ **in** exp **end**, 把 exp 中对 x 的使用替换为 y

产生规则	属性计算规则	
$A \rightarrow \text{const}(n)$	$A.\text{anf} = \text{const}(n)$	继承属性 σ 记录变量名到变量名的映射
$A \rightarrow \text{var}(x)$	$A.\text{anf} = \text{var}(A.\sigma(x))$	
$C \rightarrow \text{op}(A_1, A_2)$	$A_1.\sigma = A_2.\sigma = C.\sigma$	$C.\text{anf} = \text{op}(A_1.\text{anf}, A_2.\text{anf})$
$C \rightarrow \text{call}(A_1, A_2)$	$A_1.\sigma = A_2.\sigma = C.\sigma$	$C.\text{anf} = \text{call}(A_1.\text{anf}, A_2.\text{anf})$
$C \rightarrow \text{if}(A_1, E_2, E_3)$	$A_1.\sigma = E_2.\sigma = E_3.\sigma = C.\sigma$	$C.\text{anf} = \text{if}(A_1.\text{anf}, E_2.\text{anf}, E_3.\text{anf})$
$E \rightarrow \text{let}(x, C_1, E_2)$	$C_1.\sigma = E.\sigma$ $E_2.\sigma = [x \mapsto (C_1.\text{anf} \text{ is } \text{var}(y) ? y : x)]E.\sigma$	
	$E.\text{anf} = \text{let}(x, C_1.\text{anf}, E_2.\text{anf})$	如果形如 $\text{let } x = y$, 则在 σ 中记录该复写
$E \rightarrow \text{letfun}(f, x, E_1, E_2)$	$E_1.\sigma = [x \mapsto x, f \mapsto f]E.\sigma$ $E_2.\sigma = [f \mapsto f]E.\sigma$	
	$E.\text{anf} = \text{letfun}(f, x, E_1.\text{anf}, E_2.\text{anf})$	

常量传播

- 对于 **let** $x = INT$ **in** exp **end**, 把 exp 中对 x 的使用替换为 INT
- 对于 $INT \text{ op } INT$, 替换为运算结果(即常量折叠)

产生规则	属性计算规则
$A \rightarrow \text{const}(n)$	$A.\text{anf} = \text{const}(n)$ 继承属性 σ 记录变量名到常量的映射
$A \rightarrow \text{var}(x)$	$A.\text{anf} = x \in A.\sigma ? \text{const}(A.\sigma(x)) : \text{var}(x)$ 如果运算分量都是常量则折叠
$C \rightarrow \text{op}(A_1, A_2)$	$A_1.\sigma = A_2.\sigma = C.\sigma$ $C.\text{anf} = A_1.\text{anf} \text{ is } \text{const}(n_1) \wedge A_2.\text{anf} \text{ is } \text{const}(n_2) ? \text{const}(f_{\text{op}}(n_1, n_2)) : \text{op}(A_1.\text{anf}, A_2.\text{anf})$
$C \rightarrow \text{call}(A_1, A_2)$	$A_1.\sigma = A_2.\sigma = C.\sigma$ $C.\text{anf} = \text{call}(A_1.\text{anf}, A_2.\text{anf})$
$C \rightarrow \text{if}(A_1, E_2, E_3)$	$A_1.\sigma = E_2.\sigma = E_3.\sigma = C.\sigma$ $C.\text{anf} = \text{if}(A_1.\text{anf}, E_2.\text{anf}, E_3.\text{anf})$
$E \rightarrow \text{let}(x, C_1, E_2)$	$C_1.\sigma = E.\sigma$ $E_2.\sigma = C_1.\text{anf} \text{ is } \text{const}(n) ? [x \mapsto n]E.\sigma : E.\sigma \setminus \{x\}$ $E.\text{anf} = \text{let}(x, C_1.\text{anf}, E_2.\text{anf})$ 不是常量的变量要移除
$E \rightarrow \text{letfun}(f, x, E_1, E_2)$	$E_1.\sigma = E.\sigma \setminus \{f, x\}$ $E_2.\sigma = E.\sigma \setminus \{f\}$ $E.\text{anf} = \text{letfun}(f, x, E_1.\text{anf}, E_2.\text{anf})$

公共子表达式消除

- 对于 **let** $x = a \text{ op } b$ **in** exp **end**, 把 exp 中相同的 $a \text{ op } b$ 替换为 x , 需要注意 a 和 b 是变量时要考虑变量覆盖问题

继承属性 σ 记录表达式到变量的映射

产生规则	属性计算规则
$C \rightarrow \text{op}(A_1, A_2)$	$C.\text{anf} = \text{op}(A_1, A_2) \in C.\sigma ? C.\sigma(\text{op}(A_1, A_2)) : \text{op}(A_1, A_2)$
$C \rightarrow \text{call}(A_1, A_2)$	$C.\text{anf} = \text{call}(A_1, A_2)$
$C \rightarrow \text{if}(A_1, E_2, E_3)$	$E_2.\sigma = E_3.\sigma = C.\sigma \quad C.\text{anf} = \text{if}(A_1, E_2.\text{anf}, E_3.\text{anf})$
$E \rightarrow \text{let}(x, C_1, E_2)$	$C_1.\sigma = E.\sigma$ $E_2.\sigma = C_1.\text{anf} \text{ is } \text{op}(a, b) ? [\text{op}(a, b) \mapsto x](E.\sigma - x) : (E.\sigma - x)$ $E.\text{anf} = \text{let}(x, C_1.\text{anf}, E_2.\text{anf})$
$E \rightarrow \text{letfun}(f, x, E_1, E_2)$	$E_1.\sigma = E.\sigma - f - x \quad E_2.\sigma = E.\sigma - f$ $E.\text{anf} = \text{letfun}(f, x, E_1.\text{anf}, E_2.\text{anf})$

去掉之前记录的涉及 x 的表达式

为了简化变量名的处理, 可以在优化前改写一遍所有变量名, 使得它们都不相同

死代码消除

- 对于 **let** $x = \dots$ **in** exp **end**, 若 exp 中没有使用 x , 则可以把这个 **let** 绑定消除

综合属性 fv 记录出现的自由变量的集合

产生规则	属性计算规则	
$A \rightarrow \text{const}(n)$	$A.\text{fv} = \emptyset$	
$A \rightarrow \text{var}(x)$	$A.\text{fv} = \{x\}$	
$C \rightarrow \text{op}(A_1, A_2)$	$C.\text{fv} = A_1.\text{fv} \cup A_2.\text{fv}$	$C.\text{anf} = \text{op}(A_1, A_2)$
$C \rightarrow \text{call}(A_1, A_2)$	$C.\text{fv} = A_1.\text{fv} \cup A_2.\text{fv}$	$C.\text{anf} = \text{call}(A_1, A_2)$
$C \rightarrow \text{if}(A_1, E_2, E_3)$	$C.\text{fv} = A_1.\text{fv} \cup E_2.\text{fv} \cup E_3.\text{fv}$	$C.\text{anf} = \text{if}(A_1, E_2.\text{anf}, E_3.\text{anf})$
$E \rightarrow \text{let}(x, C_1, E_2)$	$E.\text{anf} = x \in E_2.\text{fv} ? \text{let}(x, C_1.\text{anf}, E_2.\text{anf}) : E_2.\text{anf}$ $E.\text{fv} = x \in E_2.\text{fv} ? (C_1.\text{fv} \cup (E_2.\text{fv} \setminus \{x\})) : E_2.\text{fv}$	如果 x 不出现在 E_2 使用的自由变量里则消除
$E \rightarrow \text{letfun}(f, x, E_1, E_2)$	$E.\text{anf} = f \in E_2.\text{fv} ? \text{letfun}(f, x, E_1.\text{anf}, E_2.\text{anf}) : E_2.\text{anf}$ $E.\text{fv} = f \in E_2.\text{fv} ? ((E_1.\text{fv} \setminus \{f, x\}) \cup (E_2.\text{fv} \setminus \{f\})) : E_2.\text{fv}$	

E_1 是函数体定义, 其内部使用的函数名 f 和参数名 x 不是自由变量

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