# TYPE-BASED RESOURCE-GUIDED SEARCH

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## ABOUT ME

- I am a doctoral student at Carnegie Mellon University.
- I am interested in programming languages and software engineering.
- My focuses are <u>probabilistic</u> programming and <u>static resource</u> <u>analysis</u>.





Programs



#### Programs Performance







#### Identifying bottlenecks



#### Identifying bottlenecks

#### • Timing side channels



#### Identifying bottlenecks

- Timing side channels
- Gas usage in blockchains



#### Identifying bottlenecks

- Timing side channels
- Gas usage in blockchains
- Carbon footprint





New Commits



Performance Tests



#### **Code Review**

#### New Commits



#### Possible drawbacks:

- Incomplete test coverage
- Time-consuming





**Code Review** 

Performance

Tests

#### New Commits

## STATIC RESOURCE ANALYSIS 0 Static Analysis



Code Review

Performance

Tests

#### **New Commits**

## STATIC RESOURCE ANALYSIS Analyze resource usage at compile time! Static Analysis



**Code Review** 

Performance

Tests

#### **New Commits**

#### **Possible benefits:**

- Sound approximations for all inputs
- More efficient if analysis is incremental



Examples come from Infer's documentation. Available on: <u>https://fbinfer.com/docs/next/checker-cost/</u>.



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void loop(ArrayList<Integer> list) {
 for (int i = 0; i <= list.size(); i++) {
 }
}</pre>

Examples come from Infer's documentation. Available on: <u>https://fbinfer.com/docs/next/checker-cost/</u>.



void loop(ArrayList<Integer> list) {
 for (int i = 0; i <= list.size(); i++) {
 }
}</pre>

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8|list| + 16 = O(|list|)



void loop(ArrayList<Integer> list) { for (int i = 0; i <= list.size(); i++) {</pre> } }

void loop(ArrayList<Integer> list) { }

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8 | list | + 16 = O(| list |)

for (int i = 0; i <= list.size(); i++) {</pre> foo(i); // newly added function call



void loop(ArrayList<Integer> list) { for (int i = 0; i <= list.size(); i++) {</pre> } }

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8 |list| + 16 = O(|list|)

for (int i = 0; i <= list.size(); i++) {</pre> foo(i); // newly added function call

 $O(|list|^2)$ 



void loop(ArrayList<Integer> list) { for (int i = 0; i <= list.size(); i++) {</pre> }

void loop(ArrayList<Integer> list) { }

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8 | list | + 16 = O(| list |)

for (int i = 0; i <= list.size(); i++) {</pre> foo(i); // newly added function call

Complexity increase!

 $O(|list|^2)$ 



## STATIC RESOURCE ANALYSIS IN RAML



[RaML17] J. Hoffmann, A. Das, and S.-C. Weng. 2017. Towards Automatic Resource Bound Analysis for OCaml. In POPL'17.

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append :  $\langle L^{9}(\alpha) \times L^{0}(\alpha), 3 \rangle \rightarrow \langle L^{0}(\alpha), 0 \rangle$ 





append :





append: resource-annotated type

Simplified bound:  $9|\ell_1| + 3 = O(|\ell_1|)$ 





#### This Talk: Type-Based Automatic Amortized Resource Analysis

[RaML17] J. Hoffmann, A. Das, and S.-C. Weng. 2017. Towards Automatic Resource Bound Analysis for OCaml. In POPL'17.

# STATIC RESOURCE ANALYSIS IN RAML

resource-annotated type append :

Simplified bound:

 $9|l_1| + 3 = O(|l_1|)$ 





# Automatic Amortized Resource Analysis Type-Guided Worst-Case Input Generation

Resource-Guided Program Synthesis

#### OUTLINE

## Automatic Amortized Resource Analysis



## AUTOMATIC AMORTIZED RESOURCE ANALYSIS





## AUTOMATIC AMORTIZED RESOURCE ANALYSIS







## AUTOMATIC AMORTIZED RESOURCE ANALYSIS





#### cost


# The Potential Method

### The Potential Method















![](_page_43_Picture_0.jpeg)

## POTENTIAL-AUGMENTED TYPES

let rec append l1 l2 =
 match l1 with
 [] ->
 l2
 [ x::xs ->
 let () = tick(1) in
 let rest = append xs l2 in
 x::rest

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## POTENTIAL-AUGMENTED TYPES

![](_page_45_Figure_1.jpeg)

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POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

### $^{0}(\alpha),0\rangle$ $Cost = |\ell_1|$

![](_page_47_Figure_0.jpeg)

POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

### $^{0}(\alpha),0\rangle$ $Cost = |\ell_1|$

POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

 $Cost = |\ell_1|$  $^{0}(\alpha),0\rangle$ 

[11: L<sup>1</sup>(a), 12: L<sup>0</sup>(a)]; 0 units

POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

### $^{0}(\alpha),0\rangle$ $Cost = |\ell_1|$

[11: L1(a), 12: L0(a)]; 0 units
// 11 is consumed

POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

 $Cost = |\ell_1|$  $^{0}(\alpha),0\rangle$ 

[11: L1(a), 12: L0(a)]; 0 units
// 11 is consumed
[12: L0(a)]; 0 units

POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

### $^{0}(\alpha),0\rangle$ $Cost = |\ell_1|$

[11: L1(a), 12: L0(a)]; 0 units
// 11 is consumed
[12: L0(a)]; 0 units
// 12 is consumed. Type-checked!

POTENTIAL-A  

$$append : \langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha) \rangle$$
  
 $append : \langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0 \rangle$   
 $append : \langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0 \rangle$   
 $append : \langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}$ 

$$\Gamma; q \vdash e_1 : A \qquad \Gamma, x_h : \tau, \tau$$

$$\Gamma, x : L^p(\tau); q \vdash \text{matr} \{$$

### $Cost = |\ell_1|$ $^{0}(\alpha),0\rangle$

[11: L1(a), 12: L0(a)]; 0 units // l1 is consumed [12: L<sup>0</sup>(a)]; 0 units // 12 is consumed. Type-checked!

s 12 in

 $q \vdash e_1 : A \qquad \Gamma, x_h : \tau, x_t : L^p(\tau); q + p \vdash e_2 : A \\ \overline{\Gamma, x} : L^p(\tau); q \vdash \operatorname{mat}_L\{e_1; x_h, x_t.e_2\}(x) : A \qquad (L:MATL)$ 

POTENTIAL-A  
append: 
$$(L^{1}(\alpha) \times L^{0}(\alpha), 0) \rightarrow (L^{0}(\alpha), 0$$

$$\frac{\Gamma; q \vdash e_1 : A \qquad \Gamma, x_h \vdash \tau, x_h \vdash$$

### $(\alpha),0\rangle$ $Cost = |\ell_1|$

[11: L1(a), 12: L0(a)]; 0 units
// 11 is consumed
[12: L0(a)]; 0 units
// 12 is consumed. Type-checked!

![](_page_54_Picture_6.jpeg)

POTENTIAL-A  
append: 
$$(L^{1}(\alpha) \times L^{0}(\alpha), 0) \rightarrow (L^{0}(\alpha), 0$$

$$\frac{\Gamma; q \vdash e_1 : A \qquad \Gamma, x_h \vdash \tau, x_h \vdash$$

### $^{0}(\alpha),0\rangle$ $Cost = |\ell_1|$

[11: L1(a), 12: L0(a)]; 0 units
// 11 is consumed
[12: L0(a)]; 0 units
// 12 is consumed. Type-checked!
[12: L0(a), x: a, xs: L1(a)]; 1 unit

![](_page_55_Picture_6.jpeg)

POTENTIAL-A  
append: 
$$(L^{1}(\alpha) \times L^{0}(\alpha), 0) \rightarrow (L^{0}(\alpha), 0$$

$$\frac{\Gamma; q \vdash e_1 : A \qquad \Gamma, x_h \vdash \tau, x_h \vdash$$

 $(\alpha),0\rangle$   $Cost = |\ell_1|$ 

[11: L¹(a), 12: Lº(a)]; 0 units
// 11 is consumed
[12: Lº(a)]; 0 units
// 12 is consumed. Type-checked!
[12: Lº(a), x: a, xs: L¹(a)]; 1 unit
[12: Lº(a), x: a, xs: L¹(a)]; 0 units
s 12 in

![](_page_56_Picture_5.jpeg)

POTENTIAL-A  
append: 
$$(L^{1}(\alpha) \times L^{0}(\alpha), 0) \rightarrow (L^{0}(\alpha), 0$$

$$\frac{\Gamma; q \vdash e_1 : A \qquad \Gamma, x_h \vdash \tau, x_h \vdash$$

### $| (\alpha), 0 \rangle \quad Cost = | \ell_1 |$

[11: L¹(a), 12: Lº(a)]; 0 units // 11 is consumed [12: Lº(a)]; 0 units // 12 is consumed. Type-checked! [12: Lº(a), x: a, xs: L¹(a)]; 1 unit [12: Lº(a), x: a, xs: L¹(a)]; 0 units s 12 in [x: a, rest: Lº(a)]; 0 units

![](_page_57_Picture_5.jpeg)

POTENTIAL-A  
append: 
$$(L^{1}(\alpha) \times L^{0}(\alpha), 0) \rightarrow (L^{0}(\alpha), 0$$

$$\frac{\Gamma; q \vdash e_1 : A \qquad \Gamma, x_h \vdash \tau, x_h \vdash$$

### $^{0}(\alpha),0\rangle$ Cost = $|\ell_1|$

[11: L1(a), 12: L0(a)]; 0 units // 11 is consumed [12: L0(a)]; 0 units // 12 is consumed. Type-checked! [12: L0(a), x: a, xs: L1(a)]; 1 unit [12: L0(a), x: a, xs: L1(a)]; 0 units s 12 in [x: a, rest: L0(a)]; 0 units // x and rest are consumed. Type-checked!

![](_page_58_Picture_5.jpeg)

![](_page_58_Picture_7.jpeg)

POTENTIAL-A  
append: 
$$\langle L^{1}(\alpha) \times L^{0}(\alpha), 0 \rangle \rightarrow \langle L^{0}(\alpha), 0$$

<u>Principle</u>: The potential at a program point is defined by a static type annotation of data structures.

### UGMENTED TYPES

### $\mathcal{L}^{0}(\alpha),0\rangle$ $Cost = |\ell_1|$

[11: L1(a), 12: L0(a)]; 0 units // 11 is consumed [12: L0(a)]; 0 units // 12 is consumed. Type-checked! [12: L0(a), x: a, xs: L1(a)]; 1 unit [12: L0(a), x: a, xs: L1(a)]; 0 units s 12 in [x: a, rest: L0(a)]; 0 units // x and rest are consumed. Type-checked!

![](_page_59_Picture_6.jpeg)

```
let rec append 11 12 =
  match 11 with
  | [] ->
   12
  | x::xs ->
   let () = tick(1) in
   let rest = append xs 12 in
    x: rest
```

```
append : \langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle
  let rec append 11 12 =
     match 11 with
      | [] ->
        12
      | x::xs ->
        let () = tick(1) in
        let rest = append xs 12 in
        x::rest
```

```
p,q,r,s,t are unknown
             numeric variables
append : \langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle
   let rec append 11 12 =
```

```
match 11 with
```

```
| | ->
```

```
12
```

```
let () = tick(1) in
```

```
let rest = append xs 12 in
x: rest
```

```
p,q,r,s,t are unknown
              numeric variables
append : \langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle
   let rec append 11 12 =
```

```
match 11 with
```

```
->
```

```
12
```

```
let () = tick(1) in
```

```
let rest = append xs 12 in
x: rest
```

Linear Constraints

![](_page_63_Picture_12.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

```
let rec append 11 12 =
 match 11 with
   ->
   12
  X::XS ->
   let () = tick(1) in
   let rest = append xs 12 in
   x: rest
```

# AUTOMATION VIA LP SOLVING

### [11: LP(a), 12: L9(a)]; r units

Linear Constraints

![](_page_64_Picture_9.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

```
let rec append 11 12 =
 match 11 with
                              // 11 is consumed
   ->
   12
  X::XS ->
   let () = tick(1) in
   let rest = append xs 12 in
   x: rest
```

# AUTOMATION VIA LP SOLVING

### [11: LP(a), 12: L9(a)]; r units

Linear Constraints

![](_page_65_Picture_9.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

```
let rec append 11 12 =
 match 11 with
   ->
   12
  X::XS ->
   let () = tick(1) in
   let rest = append xs 12 in
   x: rest
```

[11: LP(a), 12: L9(a)]; r units // 11 is consumed [12: L9(a)]; r units

# AUTOMATION VIA LP SOLVING

Linear Constraints

![](_page_66_Picture_10.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

```
let rec append 11 12 =
 match 11 with
   ->
   12
  X::XS ->
   let () = tick(1) in
   let rest = append xs 12 in
   x: rest
```

[11: LP(a), 12: L9(a)]; r units // 11 is consumed [12: Lq(a)]; r units // 12 is consumed

# AUTOMATION VIA LP SOLVING

Linear Constraints

![](_page_67_Picture_9.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

```
let rec append 11 12 =
  match 11 with
   ->
   12
  X::XS ->
   let () = tick(1) in
   let rest = append xs 12 in
   x: rest
```

[11: LP(a), 12: L9(a)]; r units // 11 is consumed [12: Lq(a)]; r units // 12 is consumed

# AUTOMATION VIA LP SOLVING

Linear Constraints

P≥0,q≥0,r≥0,s≥0,t≥0

q2s,r2t

![](_page_68_Picture_10.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

```
let rec append 11 12 =
  match 11 with
                               // 11 is consumed
                               [12: L9(a)]; r units
   ->
    12
                               // 12 is consumed
  | X::XS ->
    let () = tick(1) in
   let rest = append xs 12 in
    x: rest
```

# AUTOMATION VIA LP SOLVING

### Linear Constraints

[11: LP(a), 12: L9(a)]; r units

[12: Lq(a), x: a, xs: Lp(a)]; r+p units

 $P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0$ 

q2s,r2t

![](_page_69_Picture_14.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in x: rest

# AUTOMATION VIA LP SOLVING

### Linear Constraints

[11: LP(a), 12: L9(a)]; r units

- [12: Lq(a), x: a, xs: Lp(a)]; r+p units
- [12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units

 $P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0$ 

q2s,r2t

![](_page_70_Picture_15.jpeg)

append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in x: rest

# AUTOMATION VIA LP SOLVING

### Linear Constraints

 $P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0$ [11: LP(a), 12: L9(a)]; r units q2s,r2t [12: Lq(a), x: a, xs: Lp(a)]; r+p units [12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0

![](_page_71_Picture_8.jpeg)
append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in [x: a, rest: Ls(a)]; p-1+t units x: rest

# AUTOMATION VIA LP SOLVING

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
 [11: LP(a), 12: L9(a)]; r units
                                                 q2s,r2t
 [12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
```



append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs l2 in [x: a, rest: L<sup>s</sup>(a)]; p-1+t units x: rest

# AUTOMATION VIA LP SOLVING

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
[11: LP(a), 12: L9(a)]; r units
                                                    q2s,r2t
[12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
                                                   P \ge p, q \ge q, r+p-1 \ge r
```



append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in [x: a, rest: Ls(a)]; p-1+t units x: rest

# AUTOMATION VIA LP SOLVING

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
 [11: LP(a), 12: L9(a)]; r units
                                                   q2s,r2t
 [12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
                                                  P \ge p, q \ge q, r+p-1 \ge r
 // x and rest are consumed
```



append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs l2 in [x: a, rest: L<sup>s</sup>(a)]; p-1+t units x: rest

# AUTOMATION VIA LP SOLVING

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
 [11: LP(a), 12: L9(a)]; r units
                                                  q2s,r2t
 [12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
                                                  P \ge p, q \ge q, r+p-1 \ge r
                                                  P-1+t≥s+t
 // x and rest are consumed
```



append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in [x: a, rest: Ls(a)]; p-1+t units x: rest

# AUTOMATION VIA LP SOLVING

## Linear Constraints

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
[11: LP(a), 12: L9(a)]; r units
                                                  q2s,r2t
[12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
                                                  P \ge p, q \ge q, r+p-1 \ge r
// x and rest are consumed
                                                   P-1+t≥s+t
```

## p=1, q=r=s=t=0



append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in [x: a, rest: Ls(a)]; p-1+t units x: rest

append:  $\langle L^1(\alpha) \times L^0(\alpha), 0 \rangle \rightarrow \langle L^0(\alpha), 0 \rangle \checkmark P=1, q=r=s=t=0$ 

# AUTOMATION VIA LP SOLVING

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
[11: LP(a), 12: L9(a)]; r units
                                                  q2s,r2t
[12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
                                                  P \ge p, q \ge q, r+p-1 \ge r
// x and rest are consumed
                                                   P-1+t≥s+t
```



append :  $\langle L^p(\alpha) \times L^q(\alpha), r \rangle \rightarrow \langle L^s(\alpha), t \rangle$ 

let rec append 11 12 = match 11 with // 11 is consumed [12: L9(a)]; r units -> 12 // 12 is consumed | X::XS -> let () = tick(1) in let rest = append xs 12 in [x: a, rest: Ls(a)]; p-1+t units x: rest

append:  $\langle L^2(\alpha) \times L^1(\alpha), 3 \rangle \rightarrow \langle L^1(\alpha), 3 \rangle \longleftarrow P=2, q=s=1, r=t=3$ 

# AUTOMATION VIA LP SOLVING

```
P \ge 0, q \ge 0, r \ge 0, s \ge 0, t \ge 0
[11: LP(a), 12: L9(a)]; r units
                                                  q2s,r2t
[12: Lq(a), x: a, xs: Lp(a)]; r+p units
[12: Lq(a), x: a, xs: Lp(a)]; r+p-1 units r+p-1≥0
                                                  P \ge p, q \ge q, r+p-1 \ge r
// x and rest are consumed
                                                   P-1+t≥s+t
```



# The Frontier of AARA

[RaML17]	Multivariate polynomial l
[Atkey10]	Imperativ
[JHL+10]	
[HM18]	Logarithmic
[KH20]	

[Atkey10] R. Atkey. 2010. Amortised Resource Analysis with Separation Logic. In *ESOP'10*.
[JHL+10] S. Jost, K. Hammond, H.-W. Loidl, and M. Hofmann. 2010. Static Determination of Quantitative Resource Usage for Higher-Order Programs. In *POPL'10*.
[HM18] M. Hofmann and G. Moser. 2018. Analysis of Logarithmic Amortised Complexity. Available on: <u>https://arxiv.org/abs/1807.08242</u>.
[KH20] D. M. Kahn and J. Hoffmann. 2020. Exponential Automatic Amortized Resource Analysis. In *FoSSaCS'20*.

bounds, amortized complexity (binary counters, ...)

e programs, heaps, separation logic

Higher-order functions

amortized complexity (splay trees, ...)

Exponential bounds



## Can we use the type information from AARA to guide other tasks?

• Search algorithms are used in many PL-related tasks.

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- Search algorithms are used in many PL-related tasks.
- **Program Synthesis**: search for a program that satisfies specifications.



- Search algorithms are used in many PL-related tasks.
- **Program Synthesis**: search for a program that satisfies specifications.



- Search algorithms are used in many PL-related tasks.
- **Program Synthesis**: search for a program that satisfies specifications.

• <u>Idea</u>: Resource information can be used to prune the search space.



## Automatic Amortized Resource Analysis

## Type-Guided Worst-Case Input Generation

Resource-Guided Program Synthesis

## OUTLINE

# EXAMPLE OF WORST-CASE ANALYSIS



<sup>1</sup>CVE - CVE-2011-4885. Available on: <u>https://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2011-4885</u>. <sup>2</sup> PHP 5.3.8 - Hashtables Denial of Service. Available on <u>https://www.exploit-db.com/exploits/18296/</u>. <sup>3</sup> PHP: PHP 5 ChangeLog. Available on <u>http://www.php.net/ChangeLog-5.php#5.3.9</u>.



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# EXAMPLE OF WORST-CASE ANALYSIS

Potential Denial-of-Service attack<sup>1</sup>

Worst-case inputs are instrumental to understand and fix performance bugs!

## Concrete exploits (by hash collisions)<sup>2</sup>

# EXISTING APPROACHES

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## Dynamic

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. . .

- Fuzz testing
- Symbolic execution
- Dynamic worst-case analysis

- Flexible & universal
- Potentially unsound: The resulting inputs might not expose the worst-case behavior.

# Existing Approaches

0

## Dynamic

. . .

- Fuzz testing
- Symbolic execution
- Dynamic worst-case analysis

- Flexible & universal
- Potentially unsound: The resulting inputs might not expose the worst-case behavior.

## Static

- Type systems
- Abstract interpretation

- Sound upper bounds
- Potentially not tight: No concrete witness — the bound might be too conservative.

D. Wang and J. Hoffmann. 2019. Type-Guided Worst-Case Input Generation. In POPL'19.



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## Resource Aware ML (RaML)

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# Resource Aware ML (RaML)



Symbolic Execution

D. Wang and J. Hoffmann. 2019. Type-Guided Worst-Case Input Generation. In POPL'19.



• Idea: search all execution paths, record path constraints, and compute resource usage.

 $\gamma \vdash e \Rightarrow \langle \psi, S \rangle$ 

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path constraints

• Idea: <u>search</u> all execution paths, <u>record</u> path constraints, and compute resource usage. expression

symbolic environment  $\gamma \vdash e \Rightarrow \langle \psi, S \rangle$ , symbolic evaluation result path constraints



- Idea: <u>search</u> all execution paths, <u>record</u> path constraints, and compute resource usage.
- Symbolic execution rules for conditional expressions:

symbolic environment  $\gamma \vdash e \Rightarrow \langle \psi, S \rangle$  symbolic evaluation result expression path constraints



- Idea: <u>search</u> all execution paths, <u>record</u> path constraints, and compute resource usage. expression
- Symbolic execution rules for conditional expressions:  $\gamma \vdash e_1 \Rightarrow \langle \psi, S \rangle$ Then


```
let rec lpairs l =
  match 1 with
  [] -> []
  | x1::xs ->
    match xs with
    | [] -> []
    | x2::xs' ->
      if <u>x1 < x2</u> then
        let () = tick(2) in
        (x1,x2)::(lpairs xs')
      else
        lpairs xs'
```





- An example of worst-case execution paths for input lists of length 4:
   ℓ ↦ [int<sup>1</sup>, int<sup>2</sup>, int<sup>3</sup>, int<sup>4</sup>] ⊢ lpairs ℓ ⇒ ((int<sup>1</sup> < int<sup>2</sup>) ∧ (int<sup>3</sup> < int<sup>4</sup>),
  - $[(int^1, int^2), (int^3, int^4)]\rangle$





 An example of worst-case execution paths for input lists of length 4:

- $\ell \mapsto [int^1, int^2, int^3, int^4] \vdash$ lpairs  $\ell \Rightarrow \langle (\operatorname{int}^1 < \operatorname{int}^2) \land (\operatorname{int}^3 < \operatorname{int}^4),$  $[(int^1, int^2), (int^3, int^4)]\rangle$
- Invoke an SMT solver to find a model, e.g., [0,1,0,1].





• Nondeterminism leads to state Then  $\gamma \vdash e_1 \Rightarrow \langle \psi, S \rangle$  $\gamma \vdash$  if *e* then  $e_1$  else  $e_2 \Rightarrow \langle \gamma(e) \land \psi, S \rangle$ 

> Use the information about **potentials** obtained from **resource aware type checking** to **prune the search space** of symbolic execution.

e explosion:  
Else 
$$\gamma \vdash e_2 \Rightarrow \langle \psi, S \rangle$$
  
 $\gamma \vdash$  if *e* then  $e_1$  else  $e_2 \Rightarrow \langle \neg \gamma(e) \land \psi, S \rangle$ 

#### TYPE-GUIDED SYMBOLIC EXECUTION $\langle L^1(\text{int}),0\rangle \rightarrow \langle L^0(\text{int} \times \text{int}),0\rangle$ let rec lpairs l = match 1 with | x1::xs -> match xs with [] -> [] | x2::xs' -> if x1 < x2 then let () = tick(2) in (x1,x2)::(lpairs xs') else lpairs xs'

TYPE-GUIDED SYMBOLIC EXECUTION  $\langle L^1(\text{int}),0\rangle \rightarrow \langle L^0(\text{int} \times \text{int}),0\rangle$ let rec lpairs 1 =  $\ell \mapsto [int^1, int^2, int^3, int^4]$ match 1 with | [] -> [] | x1::xs -> match xs with [] -> [] | x2::xs' -> if x1 < x2 then let () = tick(2) in (x1,x2)::(lpairs xs') else lpairs xs'

TYPE-GUIDED SYMBOLIC EXECUTION  $\langle L^1(\text{int}),0\rangle \rightarrow \langle L^0(\text{int} \times \text{int}),0\rangle$ let rec lpairs 1 =  $\ell \mapsto [int^1, int^2, int^3, int^4]$ match 1 with | [] -> [] | x1::xs -> match xs with [] -> []  $x_1 \mapsto \operatorname{int}^1, x_2 \mapsto \operatorname{int}^2,$ | x2::xs' ->  $xs' \mapsto [int^3, int^4]$ if x1 < x2 then let () = tick(2) in (x1,x2)::(lpairs xs') else lpairs xs'

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TYPE-GUIDED SYMBOLIC EXECUTION  $\langle L^1(\text{int}),0\rangle \rightarrow \langle L^0(\text{int} \times \text{int}),0\rangle$ let rec lpairs 1 =  $\ell \mapsto [int^1, int^2, int^3, int^4]$ match 1 with | [] -> [] | x1::xs -> match xs with  $\Phi = |xs'| + 2 = 4$  $\begin{array}{c} | [] \rightarrow [] \\ | x2::xs' \rightarrow \end{array} \end{array} \xrightarrow{} x_1 \mapsto \operatorname{int}^1, x_2 \mapsto \operatorname{int}^2, \\ \end{array}$ if  $\underline{x1 < x2}$  then  $xs' \mapsto [int^3, int^4]$ Cost = 2 let () = tick(2) in (x1,x2)::(lpairs xs') else  $\Phi' = |xs'| = 2$ lpairs xs'

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#### **Prune the search space!**



## THEORETICAL RESULTS

**Soundness**: If the algorithm generates *an input*, then the input will cause the program to consume *exactly* the same amount of resource as the inferred *upper bound* (by RaML).

# Speed up Input Generation

 $\begin{array}{ll} \hline \text{Then} & \gamma \vdash e_1 \Rightarrow \langle \psi, S \rangle \\ \hline \gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \gamma(e) \land \psi, S \rangle \end{array}$ 

$$\begin{array}{c} \textbf{Else} \qquad \gamma \vdash e_2 \Rightarrow \langle \psi, S \rangle \\ \\ \gamma \vdash \text{ if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \neg \gamma(e) \land \psi, S \rangle \end{array}$$

# Speed up Input Generation

- How about eliminating some generation rules?  $\gamma \vdash e_1 \Rightarrow \langle \psi, S \rangle$ Then

### $\gamma \vdash e_2 \Rightarrow \langle \psi, S \rangle$ Else $\gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \gamma(e) \land \psi, S \rangle$ $\gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \neg \gamma(e) \land \psi, S \rangle$

# SPEED UP INPUT GENERATION

- How about eliminating some generation rules?  $\gamma \vdash e_1 \Rightarrow \langle \psi, S \rangle$ Then

#### Else $\gamma \vdash e_2 \Rightarrow \langle \psi, S \rangle$

 $\gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \gamma(e) \land \psi, S \rangle$   $\gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \neg \gamma(e) \land \psi, S \rangle$ 

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Else  $\gamma \vdash e_2 \Rightarrow \langle \psi, S \rangle$ 

#### **Still Sound!**

# SPEED UP INPUT GENERATION

- How about eliminating some generation rules?  $\gamma \vdash e_1 \Rightarrow \langle \psi, S \rangle$ Then
- execute the *same* path in the function body.

 $\gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \gamma(e) \land \psi, S \rangle$   $\gamma \vdash \text{if } e \text{ then } e_1 \text{ else } e_2 \Rightarrow \langle \neg \gamma(e) \land \psi, S \rangle$ 

Else  $\gamma \vdash e_2 \Rightarrow \langle \psi, S \rangle$ 

#### **Still Sound!**

• Generalization: enforce all the calls with the same shape of inputs



# **EXAMPLE:** QUICKSORT



The default interactive shell is now zsh. To update your account to use zsh, please run `chsh -s /bin/zsh`. For more details, please visit https://support.apple.com/kb/HT208050. Dis-iMac:raml di\$

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#### Automatic Amortized Resource Analysis

#### Type-Guided Worst-Case Input Generation

#### Resource-Guided Program Synthesis

## OUTLINE

# Type-Directed Synthesis

Specification

# Specification





#### Type-Directed Synthesizer

Target type

# Well-typed program

# Target type

#### Type-Directed Synthesizer

#### Well-typed program

# Target type

#### Type-Directed Synthesizer

#### Well-typed program

#### id : a -> a

# Target type

#### Type-Directed Synthesizer

#### Well-typed program

#### id : a -> a

#### let id x = x

# Target type

#### Type-Directed Synthesizer

#### Well-typed program

# Target type

#### Type-Directed Synthesizer

#### Well-typed program

#### rep : int -> a -> List a

# Target type

#### Type-Directed Synthesizer

#### Well-typed program

#### rep : int -> a -> List a

#### let rep n x = []
### TYPE-DIRECTED SYNTHESIS

# Target type

#### Type-Directed Synthesizer

### Well-typed program

#### rep : int -> a -> List a

does not implement
the replicate function
let rep n x = []

[RKJ08] P. M. Rondon, M. Kawaguchi, and R. Jhala. 2008. Liquid Types. In PLDI'08.

### LIQUID TYPES

### { v: B | Ψ }

#### A value v of type B that satisfies V

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### LIQUID TYPES



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### LIQUID TYPES

#### A value v of type B that satisfies V



A function that returns a list whose length is one plus the length of its input

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### LIQUID TYPES

A value v of type B that satisfies V

### (xs: List a) -> { List a | len(v) = len(xs) + 1 }

# rep : (n: int) -> a -> { List a | len(v) = n }

# rep : (n: int) -> a -> { List a | len(v) = n }

let rec rep n x =
 if n <= 0
 then []
 else x::(rep (n - 1) x)</pre>

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# Reduce the synthesis problem to finding an inhabitant of the target type

# rep : (n: int) -> a -> { List a | len(v) = n }

let rec rep n x =
 if n <= 0
 then []
 else x::(rep (n - 1) x)</pre>

# Reduce the synthesis problem to finding an inhabitant of the target type

# Use **type rules** to **reject** incomplete programs during the **search**

common : (xs: SList a) -> (ys: SList a) -> { SList a | elems(v) = elems(xs) n elems(ys) }

common : (xs: SList a) -> (ys: SList a) -> { SList a | elems(v) = elems(xs) n elems(ys) }

Type-Directed Synthesizer

let rec common xs ys = match xs with | [] -> [] | x::xt -> if not (member x ys) then common xt ys else x::(common xt ys)

common : (xs: SList a) -> (ys: SList a) -> { SList a | elems(v) = elems(xs) n elems(ys) }

Type-Directed Synthesizer

let rec common xs ys = match xs with | [] -> [] | x::xt -> if not (member x ys) then common xt ys else x::(common xt ys)

Quadratic Complexity! (#function calls)

T. Knoth, D. Wang, N. Polikarpova, and J. Hoffmann. 2019. Resource-Guided Program Synthesis. In PLDI'19.

# Potential: numeric $\{ v: B \mid \Psi \}^{\varphi}$ **Refinement:** boolean



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# Potential: numeric $\{ V: B \mid \Psi \}^{\varphi}$ **Refinement:** boolean

### { Int $| v \ge 0$ }<sup>5</sup>·v

A non-negative integer carrying potential equal to 5 times of its value



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# Potential: numeric $\{ V: B \mid \Psi \}^{\varphi}$ **Refinement:** boolean

### { Int $| v \ge 0$ }<sup>5</sup>·v

A non-negative integer carrying potential equal to 5 times of its value

### List aite(v≥0,1,0)

A list of numbers carrying potential equal to #non-negative elements in it



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# $\{ \mathbf{V} : \mathbf{B} \mid \Psi \}^{\mathbf{\phi}}$ Refinement: boolean

Type-checking is reduced to constraint solving in Presburger arithmetic.

#### Potential: numeric

### { Int $| v \ge 0$ }<sup>5</sup>·v

A non-negative integer carrying potential equal to 5 times of its value

### List aite(v≥0,1,0)

A list of numbers carrying potential equal to #non-negative elements in it



- common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }
  - member : (z: a) -> (zs: SList a<sup>1</sup>) -> { Bool |  $v = (z \in elems(zs))$  }

- common : (xs: SList(a)) -> (ys: SList(a)) -> { SList a | elems(v) = elems(xs) n elems(ys) }
  - member : (z: a) -> (zs: SList(a<sup>1</sup>) -> { Bool  $| v = (z \in elems(zs))$  }

each element in the list carries <u>one unit</u> of potential, thus the complexity must be <u>linear</u> in the list length

common : (xs: SList(a!) -> (ys: SList(a!) -> { SList a | elems(v) = elems(xs) n elems(ys) }

> member : (z: a) -> (zs: SList(a)) -> { Bool  $| v = (z \in elems(zs))$  }

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }

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let rec common xs ys = ??

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }

let rec common xs ys = match xs with | x::xt -> if not (member x ys) then common xt ys else ??

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }

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ys: List a<sup>P</sup> <: List a<sup>1</sup> ys: List a<sup>q</sup> <: List a<sup>1</sup>

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }

let rec common xs ys = match xs with | x::xt -> if not (member x ys) then common xt ys else ??

ys: List a<sup>p</sup> <: List a<sup>1</sup> [P ≥ 1] ys: List a<sup>q</sup> <: List a<sup>1</sup>  $[q \geq 1]$ 

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }



### Potential Sharing

#### if not (member x ys) \ ys: List a<sup>p</sup> <: List a<sup>1</sup> [p ≥ 1] ys: List $a^q <: List a^1 \qquad [q \ge 1]$

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }



### Potential Sharing

 $[1 \ge p+q]$ 

[P ≥ 1] ys: List  $a^q$  <: List  $a^1$  [ $q \ge 1$ ]

common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }



### Potential Sharing

ys: List a<sup>q</sup> <: List a<sup>1</sup>

 $[1 \ge p+q]$ [P ≥ 1]  $\left[ q \geq 1 \right]$ 

#### Infeasible!

let rec common xs ys = match xs with | [] -> [] | x::xt -> match ys with | [] -> [] | y::yt ->

- common : (xs: SList a<sup>1</sup>) -> (ys: SList a<sup>1</sup>) -> { SList a | elems(v) = elems(xs) n elems(ys) }

#### if x < y then common xt ys else if y < x then common xs yt else x::(common xt yt)

## EXAMPLE: LIST APPEND

_	-
Triple	List Compress List I
5	
6	Let's ask ReSyn to
7	that returns a list t
8	and it only allowed t
9	Try uncommenting diff
10	and observe how ReSyr
11	to make sure they on
12	Also, try adding `-r
13	and observe that call
14	triple :: xs: List {a
15	triple = ??
16	
17	A regular version o
18	Its type signature
19	indicating that it
20	append :: xs: List {a
21	
22	An append-and-swap
23	Its type signature
24	indicating that it
Run	-m 0

#### ReSyn - resource-guided program synthesis

Intersect List Insert List Range More ReSyn

```
o generate a function
three times the size of the input list `xs`,
two linear traversals over `xs`.
ferent versions of the append
on associates the calls to append differently
oly perform two traversals of `xs`.
r=False` in the command line to disable resource analysis,
ls are always associated to the right.
|2} -> {List a | len _v == 3 * (len xs)}
```

of append. e requires 1 unit of potential for every element of `xs`, t performs a linear traversal over its first argument. {a| |1} -> ys: List a -> {List a | len \_v == len xs + len ys}

p function. e requires 1 unit of potential for every element of `ys`, c performs a linear traversal over its second(!) argument.



## EXAMPLE: LIST APPEND

_	-
Triple	List Compress List I
5	
6	Let's ask ReSyn to
7	that returns a list t
8	and it only allowed t
9	Try uncommenting diff
10	and observe how ReSyr
11	to make sure they on
12	Also, try adding `-r
13	and observe that call
14	triple :: xs: List {a
15	triple = ??
16	
17	A regular version o
18	Its type signature
19	indicating that it
20	append :: xs: List {a
21	
22	An append-and-swap
23	Its type signature
24	indicating that it
Run	-m 0

#### ReSyn - resource-guided program synthesis

Intersect List Insert List Range More ReSyn

```
o generate a function
three times the size of the input list `xs`,
two linear traversals over `xs`.
ferent versions of the append
on associates the calls to append differently
oly perform two traversals of `xs`.
r=False` in the command line to disable resource analysis,
ls are always associated to the right.
|2} -> {List a | len _v == 3 * (len xs)}
```

of append. e requires 1 unit of potential for every element of `xs`, t performs a linear traversal over its first argument. {a| |1} -> ys: List a -> {List a | len \_v == len xs + len ys}

p function. e requires 1 unit of potential for every element of `ys`, c performs a linear traversal over its second(!) argument.



### Automatic Amortized Resource Analysis

### Type-Guided Worst-Case Input Generation

### Resource-Guided Program Synthesis

### OUTLINE